I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING SENT VIA FACSIMILE TO: COMMISSIONER OF PATENTS AND TRADEMARKS, P.O. Box 1450, Alexandria, VA 22313, on August 19, 2004, Sent by: Teri McDonald

IN THE UNITED STATES PATE	ENT AND TRADEMARK OFFICE				
In re application of: Nobuo Okazaki, et al.	Date: August 19, 2004				
Serial Number: 10/604,202	Examiner: Paul M. Gurzo				
Filed: 7/1/03	Group Art Unit: 2881				
Title: APPARATUS AND METHOD FOR FORMING ALIGNMENT LAYERS	IBM Corporation D/18G, B/300, Zip 482 2070 Route 52 Hopewell Junction, NY 12533-6531				

## AMENDMENT

Commissioner of Patents and Trademarks Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated July 13, 2004, the following response is being submitted to place the above-identified application in prima facie condition for allowance.

Please amend the above identified application as follows:

AMENDMENT TRANSMITTAL LETTER (Large Entity) Applicant(s): Nobuo Okazaki, et al.							Docket No. JP920020114US1			
		g Date /1/03	P	Examiner Paul M. Gurzo			Group Art Unit			
Invention: APPARATUS AND METHOD FOR FORMING ALIGNMENT LAYERS										
TO THE COMMISSIONER FOR PATENTS:  Transmitted herewith is an amendment in the above-identified application.  The fee has been calculated and is transmitted as shown below.										
CLAIMS AS AMENDED										
·		REMAINING AMENDMENT	HIGHEST # PREV. PAID FOR	i	BER EXTRA S PRESENT	RATE		ADDITIONAL FEE		
TOTAL CLAIMS	14	-	20 =		0	x	\$18.00			
INDEP. CLAIMS	3		3 =		0	x	\$86.00	\$0.00		
Multiple Dependent Claims (check if applicable)								\$0.00		
<u>'</u>			TOTAL ADDITION	VAL FEE FO	OR THIS AMI	ENDI	MENT	\$0.00		
No additional fee is required for amendment.  Please charge Deposit Account No. 09-0458 in the amount of  A check in the amount of to cover the filing fee is enclosed.  The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.  Any additional filing fees required under 37 C.F.R. 1.16.  Any patent application processing fees under 37 C.F.R. 1.17.										
EL DANIEL SCHNURMANN AGENT FOR APPLICANTS REG. NO.: 35,791    Certify that this document and fee is being 'sent via facsimile on August 19, 2004 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.										
cc:	Signature of Person Mailing Correspondence  TERI MCDONALD  Typed or Printed Name of Person Mailing Correspondence									



## FACSIMILE TRANSMISSION TO USPTO

CERTIFICATION OF FACSIMILE TRANSMISSION:

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING FACSIMILE TRANSMITTED TO THE USPTO ON THE DATE SHOWN BELOW:

Date of Transmission: August 19, 2003

Name of Person Making Transmission: Teri McDonald

## DOCUMENT(S) FAXED:

PAGES ATTACHED: 8

Re Applic of

Docket No.

Serial No.

Filing Date

Attorney

International Business Machines Corporation

JP920020114U\$1

10/604,202

7/1/03

H. Daniel Schnurmann

Attached: Amendment Transmittal Form

Amendment

PLEASE DELIVER TO:

**EXAMINER:** Paul M. Gurzo

ART UNIT: PHONE NO:

FAX NO:

703-872-9306

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS ANY DISSEMENTATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS TO THE RIGHT VIA THE U.S. POSTAL SERVICE.

INTERNATIONAL BUSINESS MACHINES CORPORATION

Intellectual Property Law East Fishkill Facility 2070 Route 52 Hopewell Junction New York 12533-6531

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